

Docket Number: 014628-0308750

Client Reference: 27529



*JPW*  
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

ZHI-JIAN YU, et al.

Group Art Unit: 1616

Application No.: 10/820,486

Examiner: UNKNOWN

Filed: April 7, 2004

Confirmation No.: 7395

For: CetylPyridinium Chloride as Antimicrobial Agent in Ophthalmic Compositions

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the reference(s) has been considered, per MPEP § 609.

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Kathleen Dunn

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This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of the first Office Action on the merits in the present application. No certification or fee is required.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read 'Seth D. Levy', with a stylized flourish at the end.

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PTO/SB/08a (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of

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**Complete if Known**

Application Number	10/820,486
Filing Date	04/07/2004
First Named Inventor	Zhi-Jian Yu
Art Unit	1616
Examiner Name	Unknown
Attorney Docket Number	014628-0308750

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
/C.B./	1	US- 3,278,447	October 11, 1966	McNicholas, et al.	
/C.B./	2	US- 3,954,644	May 4, 1976	Kreznoski, et al.	
/C.B./	3	US- 4,758,595	July 19, 1988	Ogunbiyi, et al.	
/C.B./	4	US- 4,908,147	March 13, 1990	Tsao, et al.	
/C.B./	5	US- 5,110,493	May 5, 1992	Cherng-Chyi, et al.	
/C.B./	6	US- 5,994,405	November 30, 1999	Doi et al.	
/C.B./	7	US- 5,998,488	December 7, 1999	Shinohara et al.	
/C.B./	8	US- 6,020,375	February 1, 2000	Nishihata et al.	
/C.B./	9	US- 6,482,799 B1	November 19, 2002	Tuse et al.	
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**FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
Signature

/Courtney Brown/ (08/07/2007)

Date

Considered

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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